

THE CHINESE UNIVERSITY OF HONG KONG
and
THE CHINESE UNIVERSITY OF HONG KONG (SHENZHEN)

1+1+1 CUHK-CUHK(SZ)-GDSTC Joint Collaboration Fund

Application Form
Young Scholar Projects

The Chinese University of Hong Kong (CUHK) and The Chinese University of Hong Kong (Shenzhen) (CUHK(SZ)) are collaborating deeply in response to the strategic needs of the country and the Guangdong-Hong Kong-Macao Greater Bay Area (GBA). Leveraging the comprehensive advantages of both campuses, the two campuses have jointly launched a funding scheme "1+1+1" (the Scheme) with the additional matching fund provided by Department of Science and Technology of Guangdong Province (GDSTC). The Scheme aims to support collaborative projects between the two campuses in three categories:

- General R&D Projects,
- Platform Development Projects (Not for the second round funding),
- Young Scholar Projects;

and the Scheme will initially last for three years.

Funding for Young Scholar Projects aims to support young scholars, such as postdoctoral fellows, PhD students, and undergraduate students, for innovation and research activities co-organized by the two campuses. The Scheme adopts a joint Principal Investigator (PI) system, and each project will be led jointly by Co-PIs from both campuses. The Category of Young Scholar Projects covers:

- Joint Postdoctoral Fellow Supervision,
- Joint PhD Supervision,
- Undergraduate research and innovation projects, etc.

The Co-PIs for these projects should be the co-supervisors from both campuses who supervise the postdoctoral scholars, PhD students, or undergraduate students. If a project belongs to the Category of General R&D which involves joint PhD or postdoctoral supervision, it should be submitted to the General R&D Subcommittee for consideration. The Subcommittee for Young Scholar Projects does not accept such applications.

This application form is applicable to projects for young scholars. Please read the "Guidance Notes" before completing the Application Form.

PART I SUMMARY OF PROPOSAL

1. Project Title _____

2. Name(s) of applicant(s) and academic units involved

	Name	Post	Unit/ Department
CUHK Principal Investigator			
CUHK(SZ) Principal Investigator			
Other Principal Investigator (if any)			

CUHK Co-Investigator(s)			
CUHK(SZ) Co-Investigator(s)			

3. Total funding request: HK\$ _____ **RMB¥** _____

4. Other sources of funding

While the purpose of this Scheme is to facilitate collaboration between the two campuses, project PIs are encouraged to secure funding from other resources, if any, to (partially) support the proposed activities. Please indicate if other funding source is being applied for/has been secured to support the proposed activities described in this proposal. Please check (use “x”) the appropriate description(s) and delete the inappropriate ones.

- (a) () No other funding source is being sought/has been secured.
(b) () Other funding source(s) is being applied for
(c) () Other funding source(s) has been secured

If (b) and/or (c) is chosen, please provide the details for each of the funding sources:

- a. Funding source _____
b. Title of project _____
c. Principal Investigator(s) _____
d. Co-Investigator(s) _____
e. Amount awarded for the entire project (HKD/RMB) _____
f. Amount that can be used to (partially) support the proposed activities (HKD/RMB) _____
g. Project start and end date: From _____ to _____
h. Please explain why additional funding from this 1+1+1 Scheme is needed to support the proposed activities:

- i. Please provide any other relevant information:

Please provide details ((a) to (i)) for another funding source, if any.

5. Proposed project period

(All projects should not have a duration longer than three years.)

From:

 to:

6. Category of the Collaborating Units:

Please check (use “x”) the appropriate one and observe the funding cap for the respective category as stated in the Guidance Note.

- a. ☐ Campus-level strategic initiatives
- b. ☐ Faculties/Schools(CUHK(SZ))
- c. ☐ Colleges
- d. ☐ Academic units @ the University level (e.g., Office of University GE)
- e. ☐ Administrative unit @ the University level (e.g., Student Affairs Office)
- f. ☐ Participating major programmes for organizing activities for 2+2 CUHK and CUHK(SZ)
Collaborative Double major programmes
- g. ☐ Ug Degree Programme
- h. ☐ TPg Degree Programme
- i. ☐ RPg Degree Programme
- j. ☐ Research institutes
- k. ☐ Individual academic staff
- l. ☐ Individual administrative staff
- m. ☐ Others (please specify) _____

7. Target and Number of Beneficiaries

Please check (use “x”) the target beneficiaries and provide an estimate of the number of beneficiaries.

- a. ☐ Ug students; about _____ CUHK and _____ CUHK(SZ) students will be benefited
- b. ☐ TPg students; about _____ CUHK and _____ CUHK(SZ) students will be benefited
- c. ☐ RPg students; about _____ CUHK and _____ CUHK(SZ) students will be benefited
- d. ☐ Postdoctoral fellow; about _____ CUHK and _____ CUHK (SZ) Postdoctoral fellows will be benefited
- e. ☐ Teachers; about _____ CUHK teachers and _____ CUHK(SZ) teachers will be involved in the teaching development activities supported by the Scheme
- f. ☐ Others (please specify the details) _____

8. Themes/Keywords of the Proposed Projects

Please check (use “x”) the appropriate set(s) of keywords for the proposed project activities.

- a. ☐ Joint postdoctoral fellow supervision
- b. ☐ Joint PhD supervision
- c. ☐ Students’ joint research projects (credit bearing)
- d. ☐ Students’ joint research projects (non-credit bearing)
- e. ☐ Study activities organized for students of the two campuses
- f. ☐ Practical studies outside campus (e.g., company visits, institution visits, internships, etc.)
- g. ☐ Others (please provide the keywords) _____

9. Abstract of the proposal (in Chinese)

Please provide a short abstract in point form, limited to half a page or 450 words, comprehensible to a non-specialist (*information provided under this section will be uploaded to websites or will be made accessible in the public domain*).

10. Abstract of the proposal (in English)

Please provide a short abstract in point form, limited to half a page or 300 words, comprehensible to a non-specialist (*information provided under this section will be uploaded to websites or will be made accessible in the public domain*).

PART II DETAILS OF THE PROJECT PROPOSAL

1. Please provide detailed description of the proposal within 6 pages, which should include information for each of the following aspects though it is not necessary to address them point-by-point, taking into account the project requirement and assessment criteria outlined in the “*Application Form*” and “*Guidance Notes*”, respectively.

- (a) Overall objectives of the project.
- (b) How the project can facilitate research and innovation of young scholars/students as well as enhance collaboration between the two campuses.
- (c) Expected activities/deliverables/research outcomes and the KPIs; a table of the following format (illustrative examples are given for reference) is required for each project proposal to facilitate project evaluation. Please itemize the activities/deliverables/outcomes and provide the respective KPIs for each of the items.

Expected key activities/deliverables/ research outcomes	KPIs
e.g. (Joint Ph.D supervision and joint postdoctoral fellows)	A total number of XX Ph.D students / postdoctoral fellows are supervised. Research output: No. of conferences attended and project output presented No. of papers accepted/ published
e.g. (Joint undergraduate research)	A total of XX CUHK students and XX CUHK (SZ) students participated in joint undergraduate research No. of conferences attended, project output presented No. of papers accepted/ published
e.g., (Practical studies outside campus) An internship Scheme will be established that will offer opportunities to both CUHK and CUHK(SZ) students in xx and xx programmes to gain practical experiences. Internship opportunities at HK, SZ and GBA will be sought in relevant companies for CUHK and CUHK(SZ) students to join. CUHK students will be encouraged to join internships in GBA and CUHK(SZ) students will be encouraged to join	xx CUHK students and xx CUHK(SZ) students joined the preparatory programme together. xx internship opportunities at Hong Kong have been secured and xx CUHK(SZ) students completed the programme. xx internship opportunities at GBA have been secured and xx CUHK students completed the programme. Research output (such as papers, reports, case studies, etc.) generated and/or published.

internships in HK. In addition to mentors in companies, faculty members at CUHK and CUHK(SZ) will provide regular supervisions to the participating students. Subsidy will be provided to xx students for xx.	
e.g., (Competition organized by external organization) Students of CUHK and CUHK(SZ) will form teams to join the xx Competition, which involves innovation and investigation.	xx teams each with both CUHK and CUHK(SZ) students formed to join the xx Competition. A total of xx CUHK students and xx CUHK(SZ) students have jointly attended the preparatory sessions with a total of xx hours.
e.g., (Innovative teaching research) Course content in relation to the use of GenAI in xx will be jointly developed by CUHK Teacher A and CUHK(SZ) Teacher B for use in the courses they taught. The project requires research on applications of AI in education.	A total of xx case studies in relation to the use of GenAI in xx have been developed and are used in xx courses, benefiting a total of xx students. The development of the xx case studies by Teacher A is also partially supported by CUHK TDLEG grant. The outcomes are expected to publish in xx conferences/journals.

- (d) Timeline for completing the activities and achieving the deliverables/outcomes.
- (e) Arrangement of collaboration, and the distribution of works of the PIs, CoIs (if any) and units from CUHK and CUHK(SZ), respectively.
- (f) Arrangement for and criteria to be adopted in evaluating the activities/deliverables/outcomes.
- (g) Identification of success/failure factors/risks and problems; solutions and risk management plans, if any.
- (h) Means and plans for documentation and dissemination of research outputs, successful cases, good practices and activities/deliverables/outcomes; sharing of the project experience and outcomes.
- (i) Sustainability and replicability of project outcomes. How the project is designed to ensure long-lasting impacts beyond the responsible units, and to provide outcomes that can be effectively applied and replicated in a broader prospect.
- (j) If other funding resources are available to partially support the activities described in the project, how the additional funding provided by this Scheme can add values and leverage synergies.

PART III BUDGET OF THE PROJECT PROPOSAL

1. Estimated expenditures of the project

The funding cap for projects proposed by Collaborating Units of Categories (b) to (e) (please refer to Paragraph 6 of Part I) is RMB¥1.2m; the cap for projects of Categories (f) to (j) is RMB¥0.6m; the cap for projects of Categories (k) and (l) is RMB¥0.3m. There is no funding cap for projects of Category (a). For projects that cannot be classified to Categories (a) to (m), please use the most relevant Category as a reference.

Item	Details	Amount (HK\$ or RMB¥)	Justifications
(a) Staff cost [please specify type (e.g. research/supporting/technical), rank, duration, number of staff, mode of employment (part-time or full-time), monthly salary/man-hours with hourly rate, etc.]	(a1) xxx (a2) xxx . . .	(a1) HK\$xx (a2) RMB¥xx	[please provide information on duties involved]
(b) Student cost [please specify type, monthly salary/man-hours with hourly rate, etc. (university standard rate should be adopted)]	(b1) xxx (b2) xxx	(b1) HK\$xx (b2) RMB¥xx	[please provide information on duties involved]
(c) Equipment cost [please itemize and provide detailed breakdown. Quotation/price information is required. Endorsement from Department Chairman/Unit Head is required for purchase of equipment (refer to Part III, 3 below).]			[please include (i) justification for equipment purchase; (ii) information on equipment with similar functions purchased by the PIs or Co-Is; and (iii) why this budget cannot be covered by the Department]
(d) Conference attendance [capped at HK\$20,000 per year]			
(e) Contract for services/visitors e.g., contract-for-service (please provide detailed breakdown in man-hour and/or quotation), academic visitors, etc.			[please include relevant justifications for all proposed items]
(h) Student activity e.g., transportation (e.g. cost per student per trip x no. of students x no. of trips)) e.g., accommodations for students (e.g., cost per student per day x no. of students x no. of days) e.g., expenditures of the activity (please include estimated number of groups)			
(i) Others (e.g., student project materials, laboratory consumables etc.)			
(j) Miscellaneous			
Total:	HK\$ xxx RMB¥ xxx	HK\$ xxx RMB¥ xxx	

2. Summary of funding items (for management of internal funding allocation from different sources)

(A) Please note that the funding sources of this Scheme include GDSTC, CUHK and CUHK(SZ). As guidelines and restrictions stipulated by the respective parties must be strictly observed, the approval of funding for specific budget items will be subject to the funding available from different sources. To facilitate funding approval/allocation, please refer to the 廣東省財政科研資金使用負面清單 (The Negative List for the Use of Financial Research Funds in Guangdong Province) and indicate your judgement whether a proposed budget item, or the entirety of your project, can be supported by the funding from GDSTC. No double dipping is allowed. If you are not sure which funding source is applicable, you may skip your indication for the relevant item.

Item requested	HK\$	RMB¥	PI's judgement* (Y or N)	Funding Source (Office Use Only)		
				CUHK (HK\$)	CUHK(SZ) (RMB¥)	GDSTC (RMB¥)
(a1)	Xxx		N	xxx		
(a2)		xxx	Y		xxx	Xxx
(b1)						
(b2)						
:						
Total						

* Please provide your judgement on whether this item can be supported by the part of GDSTC funding

(B) Please note that Management Committee of the 1+1+1 CUHK-CUHK(SZ)-GDSTC Joint Collaboration Fund will have the final discretion to allocate the funding from an appropriate funding source, considering the fund availability and the nature of the proposed project.

PART IV OTHER INFORMATION

(1) Have you ever obtained funding support from the “1+1+1” Funding Scheme?

☐ No ☐ Yes

(2) Are you currently holding any Guangdong provincial projects?

☐ No

☐ Ongoing (or proposal being considered) ; Please specify:

Project Title	Project Period	Approval Agency	Project Type	Funding Amount (HKD/RMB)	Cooperating Institution and Name of the Co-PI	Your Role in the Project (PI or Participant)

Signature of CUHK PI _____ Date _____

Signature of CUHK(SZ) PI _____ Date _____

PART V ENDORSEMENT OF THE PROJECT PROPOSAL

(Endorsement of Chair/Head of respective Department/Unit of CUHK)

Endorsed by _____ (Name and Title of Endorsing Authority)

Signature _____ Date _____

(Endorsement of Chair/Head of respective Department/Unit of CUHK, SZ)

Endorsed by _____ (Name and Title of Endorsing Authority)

Signature _____ Date _____

THE CHINESE UNIVERSITY OF HONG KONG
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1+1+1 CUHK-CUHK(SZ) GDSTC Joint Collaboration Fund
(Young Scholar Projects)

Budget Revision/Change of Project Duration

Application should be submitted at least one month before the project end date

Project Title	_____
CUHK Principal Investigator	_____
Unit/Department	_____
CUHK Project Code	_____
CUHK(SZ)Principal Investigator	_____
Unit/Department	_____
Project Code	_____

- 1. Budget Revision** (Full budget of the approved project with proposed revisions highlighted should be included. Please itemize and include relevant justifications for the proposed revisions, and refer to the part on “Financial Arrangements” of the Guidance Notes for budget preparation. Quotations/price information should be attached as appropriate.)

Item	Approved Budget (HK\$ or RMB¥)*	Proposed Budget (HK\$ or RMB¥)
Total		

* Please state the updated amount for budget revisions that have been approved earlier, if any.

2. Change of Project Duration

Item	Approved	Proposed
Duration (in year and month)		
Commencement Date		NA
Completion Date		

3. Reasons/Justifications

3. Endorsement of request for equipment as listed in (1) from the Chair/Head of the Department/Unit that will house the equipment (required only if equipment is involved in the budget revision)

Endorsed by _____ (Name of Chair/Unit Head)

Signature _____ Date _____

Signature of CUHK PI _____ Date _____

Signature of CUHK(SZ) PI _____ Date _____

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For office use only

Approved ☐

Not approved ☐

*Record
updated by* _____

Date _____